

STATE BANK OF INDIA

460 Park Avenue, New York, NY 10022

Tel: 212-521-3286,3300; Fax: 212-521-3361

All fields are mandatorily to be filled

Customer Information Updation Form (To be provided by each account holder separately)			
Part I			
Customer ID			
Name	First	Middle	Last /Surname
Type of account held: (Check all that are applicable)	<input type="checkbox"/> Checking <input type="checkbox"/> Money Market Deposit <input type="checkbox"/> Certificate of Deposit		
Social Security No. / Tax Identification No./ Permanent Account Number (PAN) (*Indian Citizen)			
Employment Details	<input type="checkbox"/> Employed / <input type="checkbox"/> Self-employed / <input type="checkbox"/> Retired / <input type="checkbox"/> Unemployed / <input type="checkbox"/> Student / <input type="checkbox"/> Others (give details) _____		
Occupation			
Name of Employer in case employed Or Name / Nature of Business in case Self Employed			
	Home Address	Work Address (In case of Students, address of the College to be provided here)	
Address Line 1			
Address Line 2			
Address Line 3			
City			
State			
Country			
Zip Code			
Email			
Contact / Mobile Number	Home:	Mobile:	
	Work:		

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Mailing Address	<input type="checkbox"/> Same as Home Address <input type="checkbox"/> Same as Work Address <input type="checkbox"/> Others, please specify _____ _____
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Part II

Place of Birth	City	State	Country

Status of Residency :	<input type="checkbox"/> US Citizen <input type="checkbox"/> Permanent Resident <input type="checkbox"/> Resident Alien (refer Addendum #1) <input type="checkbox"/> Non- Resident Alien
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Non-US Persons (Please complete)	Country of Citizenship: _____ Country of Current Residence: _____
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ID Details	Primary ID	Secondary ID
Nature of ID		
ID Number		
Issue Date		
Expiry Date		
Place of Issue		

Please provide all the following documents mandatorily for all holders:

- One of the IDs should contain the home address as provided in the form
- Copy of unexpired Govt. issued **photo ID**. (Green card /Passport /Driver's License / State ID)
- Secondary ID is required only if the home address is not available on Primary ID (Preferably Latest utility bill or bank statement)
- Appropriate **Tax Form** (**refer Addendum #2**)
- For List of IDs refer to **Addendum #3**

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Part III		
Annual Family Income	<input type="checkbox"/> <\$25,000 <input type="checkbox"/> \$25,000-\$50,000 <input type="checkbox"/> \$50,000-\$100,000	<input type="checkbox"/> \$100,000-\$150,000 <input type="checkbox"/> \$150,000-\$250,000 <input type="checkbox"/> >\$250,000
Purpose of account	<input type="checkbox"/> Savings <input type="checkbox"/> Receiving social security <input type="checkbox"/> Receiving salary	<input type="checkbox"/> Sending remittances to India <input type="checkbox"/> Others, _____
Expected type of activity in account	<input type="checkbox"/> Issue and deposit of checks <input type="checkbox"/> Wire transfers issue /receipts	<input type="checkbox"/> Cash receipts / payments <input type="checkbox"/> Others, _____
Source of Funds	<input type="checkbox"/> Current Income/Wages <input type="checkbox"/> Pension/SS Benefits <input type="checkbox"/> Sale of Property	<input type="checkbox"/> Past Savings <input type="checkbox"/> Liquidation of Investments <input type="checkbox"/> Rent <input type="checkbox"/> Others, _____
Expected Annual Cash Transactions	Number of transactions per annum	Amount per annum (\$)
	_____	\$ _____
Expected single largest International Wire Transaction (\$)	\$ _____	
Expected annual volume of transactions (\$)	<input type="checkbox"/> <\$10,000 <input type="checkbox"/> \$10,000-\$25,000 <input type="checkbox"/> \$25,000-\$50,000	<input type="checkbox"/> \$50,000-\$100,000 <input type="checkbox"/> \$100,000-\$150,000 <input type="checkbox"/> >\$150,000
Expected Monthly volume of wire transfer	No. per month	Total Amount per month
	_____	_____

Declaration: In the event that there is a change to any of the information provided in Part I, Part II and Part III above, I undertake to inform the Bank within 90 days of such change.

Customer Signature: _____

Print Name: _____

Date: ____/____/____

Place: _____

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Addendum #1

Substantial presence test – An alien is considered a resident alien if the individual meets the substantial presence test for the calendar year. Under this test, the individual must be physically present in the U.S. on at least

- 31 days during the current calendar year and
- 183 days during a 3 year period being the current year and the 2 preceding years, counting all the days of physical presence in the current year, but only 1/3 the number of days of the presence in the first preceding year, and only 1/6 the number of days in the second preceding year.
- **Exempt individuals:**
 - An individual temporarily present in the United States as a foreign government-related individual under an “A” or “G” visa, other than individuals holding “A-3” or “G-5” class visas.
 - A teacher or trainee temporarily present in the United States under a “J” or “Q” visa, who substantially complies with the requirements of the visa.
 - A student temporarily present in the United States under an “F,” “J,” “M,” or “Q” visa, who substantially complies with the requirements of the visa.
 - A professional athlete temporarily in the United States to compete in a charitable sports event.

For other specific instructions please refer to the IRS related site listed below.

[Substantial-Presence-Test](#), You will be considered a U.S. resident for tax purposes if you meet the substantial presence test.

If you have any questions regarding compliance with FATCA, please consult your legal or tax advisor.

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Addendum # 2

The Foreign Account Tax Compliance Act (FATCA) includes specific obligations that require U.S. withholding agents to perform due diligence requirements to validate pre-existing accounts held by U.S. persons as defined in the IRS Code. As part of State Bank of India's (SBI) effort to comply with FATCA, we are collecting updated U.S. tax forms from account holders.

In accordance with IRS guidance, we must obtain an updated tax form from you to ensure your account reflects a valid FATCA status and avoids unnecessary tax withholding of 30%. Please return your updated form(s) in hard copy to the New York Branch of SBI.

Below is a tax form list, please review it and select the IRS related link provided to assist you in submitting the appropriate documentation for your account. The link will access the IRS site containing the tax form selected and the relevant instructions.

- [Form W-8BEN](#), Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding
- [Form W-8BEN-E](#), Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)
- [Form W-8ECI](#), Certificate of Foreign Person's Claim That Income Is Effectively Connected With the Conduct of a Trade or Business in the United States
- [Form W-8EXP](#), Certificate of Foreign Government or Other Foreign Organization for United States Tax Withholding
- [Form W-8IMY](#), Certificate of Foreign Intermediary, Foreign Flow-Through Entity, or Certain U.S. Branches for United States Tax Withholding
- [Form W-9](#), Request for Taxpayer Identification Number (TIN) and Certification

[If you have any questions regarding compliance with FATCA, please consult your legal or tax advisor.](#)

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Addendum #3

List of acceptable IDs

PRIMARY PHOTO ID <i>(Valid as on date of application)</i>	SECONDARY ID (In case of Utility Bills, not older than three months)	
<input type="checkbox"/> Photo bearing State Driving License <input type="checkbox"/> Passport <input type="checkbox"/> State ID Card <input type="checkbox"/> US Alien Registration Card	<input type="checkbox"/> Pay Stub <input type="checkbox"/> Utility Bill <input type="checkbox"/> U.S. Visa Page (in Passport) <input type="checkbox"/> Bank Statement <input type="checkbox"/> W2 Income Statement <input type="checkbox"/> Income Tax Return.	<input type="checkbox"/> Social Security Card <input type="checkbox"/> Student ID Card <input type="checkbox"/> Birth Certificate <input type="checkbox"/> Insurance Card <input type="checkbox"/> Property Tax Bill